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Sex-chromosome evolution in frogs: What role for sex-antagonistic genes?

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26 **Summary**

27 Sex-antagonistic (SA) genes are widely considered to be crucial players in the evolution of
28 sex chromosomes, being instrumental in the arrest of recombination and degeneration of Y
29 chromosomes, as well as important drivers of sex-chromosome turnovers. To test such
30 claims, one needs to focus on systems at early stages of differentiation, ideally with a high
31 turnover rate. Here I review recent work on two families of amphibians, Ranidae (true frogs)
32 and Hylidae (tree frogs), to show that results gathered so far from these groups provide no
33 support for a significant role of SA genes in the evolutionary dynamics of their sex
34 chromosomes. The findings support instead a central role for neutral processes and
35 deleterious mutations.

36

37 Introduction

38 Most mammals and birds, as well as many insects such as *Drosophila*, present highly
39 heteromorphic sex chromosomes, with a small and gene-poor Y (or W) chromosome
40 contrasting with a large and gene-rich X (or Z) chromosome. The so-called canonical model
41 of sex-chromosome evolution, conceived to account for these patterns, assigns an
42 instrumental role to sex-antagonistic (SA) genes in the process of degeneration. According to
43 this model, a sex-determining (SD) mutation newly fixed on a chromosome (such that
44 individuals with the mutation develop into one sex, and individual without it into the other
45 sex) will automatically favor SA mutations occurring in its vicinity: if linked to a male-
46 determining allele, for instance, a male-beneficial mutation will spread even if highly
47 detrimental to females, because linkage makes it more likely to be transmitted to sons than
48 to daughters. Then, mutations that further restrict or arrest X-Y recombination between the
49 SD and SA genes (e.g. an inversion) will also spread, because the recombination load will be
50 thereby alleviated or eliminated. As a side effect of recombination arrest, however, the Y (or
51 W) chromosome will start to accumulate deleterious mutations, and progressively
52 degenerate (Fisher 1931; Charlesworth 1978, Charlesworth & Charlesworth 1980; Bull 1983;
53 Rice 1984, 1987).

54 Along the same logic, SA genes have also been proposed to play a key role in driving
55 Y-autosome fusions (Charlesworth & Charlesworth 1980) and sex-chromosome turnover
56 (van Doorn & Kirkpatrick 2007; 2010), by just reversing the model above: the spread of a
57 male-determining mutation will be favored by linkage to a male-beneficial allele, because
58 linkage makes the male-beneficial / female-detrimental allele more likely to be transmitted
59 to sons than to daughters.

60 Though elegant and intellectually appealing, the canonical model has received limited
61 empirical support. It cannot be tested in systems with differentiated sex chromosomes (for
62 which it was developed), because SA genes on these chromosomes might have accumulated
63 after recombination has arrested, or after turnovers have occurred. For a proper test, one
64 needs to focus on ongoing turnovers or systems at incipient stages of differentiation. Frogs
65 are ideal systems in this context. Their sex chromosomes are still morphologically
66 undifferentiated, but show polymorphism in the level of genetic differentiation (i.e., in the
67 frequency of XY recombination); they also undergo frequent transitions, some of which still

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3 68 ongoing in some species (where sex chromosomes differ between populations). Here I
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5 69 review some work performed in this context, mostly over the last decade, on European
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7 70 species of frogs from two families, Ranidae (true frogs) and Hylidae (tree frogs), with a
8
9 71 special focus on the European common frog, *Rana temporaria*.

11 72 **Genetic sex determination, homomorphic sex chromosomes, and male heterogamety**

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15 73 All species of frogs properly investigated so far have revealed a genetic component to sex
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17 74 determination (GSD), even if genetic control is not always strict (Schmid & Steinlein 2001;
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19 75 Eggert 2004). Some laboratory studies have suggested a masculinizing effect of high
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21 76 temperatures, but at values (27° to 36°C) that largely exceed those prevailing during larval
22
23 77 development (Hayes 1998). Thus, there is no direct evidence for environmental effects on
24
25 78 sex determination under natural settings, and GSD normally prevails in nature. Surprisingly,
26
27 79 however, sex chromosomes have remained morphologically undifferentiated (i.e.,
28
29 80 homomorphic) in more than 96% of species (Eggert 2004). Thus, the existence of GSD and
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31 81 the patterns of heterogamety have been usually established, not by karyotype analyzes
32
33 82 (with a few exceptions; e.g. Ryuzaki et al. 1999), but via experimental gynogenesis
34
35 83 (gynogenetic individuals are all females in XY systems), sex reversals (sex-reversed XX males
36
37 84 have all-female progenies), or genetic markers (sex-linked markers in XY systems
38
39 85 preferentially transmit one paternal allele to sons and the other to daughters).

40
41 86 The first data along this latter line were gathered from enzymatic polymorphisms
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43 87 (see e.g. Sumida & Nishioka 2000 for a review). Surprisingly, most species investigated in
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45 88 these early studies turned out to be male heterogametic (XY males; Kawamura & Nishioka
46
47 89 1977; Sumida & Nishioka 2000). The prevalence of XY systems across both Hylidae and
48
49 90 Ranidae has been largely confirmed, with the use of more powerful molecular tools such as
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51 91 microsatellites (e.g. Berset-Brändli et al. 2006) or RADseq (Brelsford et al. 2016a). Male
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53 92 heterogamety actually prevails among amphibians in general, comprising two thirds
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55 93 (68/102) of the species for which heterogamety has been identified so far (The Tree of Sex
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57 94 Consortium 2014).

95 **Restricted male recombination**

96 Interestingly, searches for sex-linked markers in XY species have typically unveiled large
97 numbers of male-specific markers. A high-density sex-specific linkage map established from
98 a *Hyla arborea* family, for instance, revealed a threefold increase in SNP density in the male
99 relative to the female map for chromosome 1 (the sex chromosome) (Brelsford et al. 2016a).
100 This clearly suggests that, even though sex chromosomes are not morphologically
101 differentiated, X and Y chromosomes have stopped recombining over a large segment for a
102 significant number of generations.

103 That recombination is suppressed or restricted in male frogs had already been
104 documented with enzymatic markers (e.g. Sumida & Nishioka 2000). This pattern has been
105 largely confirmed, using more powerful molecular tools (e.g. Rodrigues et al. 2013).
106 Importantly, it is not limited to sex chromosomes: high-density linkage maps typically find
107 shorter maps in males than in females for all chromosomes, with a characteristic central
108 peak in SNP density corresponding to a large non-recombining segment (Hylidae: Brelsford
109 et al. 2016a; Ranidae: Brelsford et al. 2016b). Thus, recombination occurs uniformly across
110 chromosomes in females, but mostly at chromosome tips in males (where recombination
111 rate actually exceeds that in females; Fig. 1; also see Fig. S10 in Jeffries et al. 2018). These
112 results are in line with cytological evidence that, for anurans in general (except for the early
113 branching Leiopelmatoidea and Discoglossoidea), male meiosis presents two and only two
114 chiasmata per bivalent, which are always terminal, giving them a typical ring shape during
115 metaphase I (Morescalchi & Galgano 1973). Similar patterns have been documented in
116 fishes (sticklebacks: Sardell et al. 2018; fugu: Kai et al. 2011; guppies: Bergero et al. 2019;
117 Charlesworth et al. 2020). More generally, most vertebrates and many other eukaryotes
118 show a recombination bias toward telomeres in males, and more uniformly spread in
119 females (see Sardell & Kirkpatrick 2020 for a documentation of patterns and thorough
120 discussion of possible evolutionary causes and consequences).

121 Given that autosomes do recombine in females, their arrest of recombination in
122 males does not result from structural changes (such as inversions), but more likely from
123 some specificities of the male meiosis. It is tempting to extrapolate this conclusion to sex
124 chromosomes, namely that the arrest of X-Y recombination in frogs does not stem from
125 structural changes (as classically assumed by the canonical model), but only from the fact

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3 126 that Y chromosomes are found in males, in which recombination only occurs at chromosome
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5 127 tips. This conjecture is fully confirmed by observations of naturally-occurring sex reversals:
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7 128 X-X recombination is stopped in XX males, while X and Y fully recombine in XY females
8
9 129 (Rodrigues et al. 2018). Thus, recombination patterns in general (both on autosomes and on
10
11 130 sex chromosomes) are controlled by phenotypic sex, not by genotypic sex. The arrest of XY
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13 131 recombination in frogs is therefore a direct and necessary consequence of male
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15 132 heterogamety. Any chromosome that takes a sex-determining role and becomes strictly
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17 133 male-limited stops recombining *ipso facto*. There is no need to invoke a role for SA genes in
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19 134 this process.

20 21 135 **Leaky GSD and sex reversal**

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24 136 Sex reversals, i.e., discrepancies between genetic and phenotypic sex, appear widespread
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26 137 across frog populations. XY females, however, seem much rarer than XX males. Interestingly,
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28 138 the frequency of sex reversals varies among populations, as largely documented in *Rana*
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30 139 *temporaria* (Rodrigues et al. 2014, 2015; Phillips et al. 2020), where this variation seemingly
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32 140 relates to the phylogeographic origins of populations, not to abiotic factors such as
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34 141 temperature (see below). At one end of the continuum are populations with strict GSD, such
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36 142 as those found in northern Sweden and southern Swiss Alps, where offspring sex is strictly
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38 143 controlled by the paternally inherited copy of chromosome 1 (which also acts as the sex
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40 144 chromosome in this species). Other populations, such as those found in southern Sweden
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42 145 and northern Swiss Alps, display “leaky GSD”: offspring sex correlates significantly, but not
43
44 146 strictly, with the paternally inherited copy of chromosome 1. At the other end are
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46 147 populations, such as those found in lowland Switzerland and Alsace (Rodrigues et al. 2013;
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48 148 Brelsford et al. 2016b), that show no sign of a genetic component to sex determination (non-
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50 149 GSD): offspring sex does not correlate with the paternally or maternally inherited copy of
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52 150 any chromosome pair or genetic marker.

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52 151 Accordingly, these populations also differ in the level of sex-chromosome
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54 152 differentiation. A meaningful distinction is to be made here between three sex-chromosome
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56 153 strata (Fig. 1). A first one comprises the immediate surrounding of the sex-determining locus
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58 154 (the best candidate in *R. temporaria* being *Dmrt1*, a transcription factor known to play a key
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60 155 role in sex determination and sexual development across all metazoans), which is expected

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3 156 to differ between sexes if this locus is to determine sex. A second stratum is made of the
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5 157 largest, central part of sex chromosomes (comprising the bulk of sex-linked genes), which
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7 158 does not recombine in males; this part is expected to show some sex differentiation under
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9 159 strict GSD (because the Y chromosome then only occurs in males). The third stratum, finally,
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11 160 comprises the two tips of chromosomes, which recombine in males and are therefore never
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13 161 expected to show sex differentiation.

14 162 Males sampled from a series of populations were tested for i) markers located within
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16 163 the candidate sex-determining segment (namely, three markers within introns 1, 2 and 5 of
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18 164 *Dmrt1* and one in the first intron of the closest downstream gene, *Dmrt3*; no polymorphism
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20 165 was found within exons of these genes), and ii) series of anonymous microsatellite markers
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22 166 along chromosome 1, encompassing the second and third strata defined above (Ma et al.
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24 167 2016; Rodrigues et al. 2017). According to the above expectations, males of families showing
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26 168 strict GSD display XY differentiation over their whole chromosome 1, except for the tips (i.e.,
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28 169 strata 1 and 2 are sex-differentiated). These males are referred to as XY males. Males of
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30 170 families with leaky GSD, by contrast, only differ from females at *Dmrt* markers (stratum 1);
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32 171 these Y chromosomes are referred to as “proto-Y chromosomes”, and their carriers as XY°
33
34 172 males. Finally, males of non-GSD families do not show any differentiation from females,
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36 173 even at the *Dmrt* markers. These males, which seem genetically identical to females, are
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38 174 referred to as XX males.

39 175 Similar polymorphisms in sex-determination patterns are likely widespread across
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41 176 natural populations of other frogs. Sex reversals and leaky GSD are now being documented
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43 177 in the several species for which sex-linked markers have been developed (e.g. *Rana*
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45 178 *clamitans*, Lambert et al. 2019; *Rana dalmatina*, Nemesházi et al. 2020). Additionally, Jeffries
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47 179 et al. (2018) found polymorphism in Y-haplotypes, in the levels of Y-chromosome
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49 180 differentiation, as well as populations in which no sex-linked marker could be found in six
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51 181 species of Ranidae. Occasional X-Y recombination has also been inferred from the patterns
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53 182 of sex-chromosome evolution in Hylid frogs (Stöck et al. 2011; Guerrero et al. 2012). All are
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55 183 hallmarks of leaky sex determination. However, the geographical distributions of these
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57 184 polymorphisms remain to be investigated.

185 **Threshold model of sex determination**

186 The above patterns fit the so-called “threshold model” of sex determination (Fig. 2),
187 according to which sex is determined by the expression level of a sex factor (SF, which might
188 be *Dmrt1* in *R. temporaria*) during a sensitive period of development. An individual develops
189 into one sex (let’s say male) if the expression exceeds a given threshold, and into the other
190 sex if that threshold is not met. Assuming that the Y copy of the SF gene is expressed much
191 more than the X copy, all XY individuals should lie above the threshold (and thus develop as
192 males), and all XX individuals below the threshold (and thus develop as females), resulting in
193 strict GSD. If expression levels overlap somewhat, then random variation makes some XX
194 individuals develop as males, and some XY individuals as females, resulting in leaky GSD.
195 Given that X and Y recombine in females, these occasional events of sex reversal will prevent
196 sex-chromosome differentiation, except in the immediate vicinity of the sex-determining
197 locus, resulting in XY° males with proto-sex chromosomes (the fountain-of-youth model;
198 Perrin 2009). Finally, if the two copies show no statistical difference in expression level, then
199 individual sex is determined by random noise in the expression of the sex factor (Perrin
200 2016), resulting in XX males and non-GSD.

201 In line with this model, XY and XY° *R. temporaria* males typically present different Y-
202 specific *Dmrt1* alleles (see below), while XX males by definition share the same *Dmrt1* alleles
203 as females. Thus, the observed polymorphism of sex-chromosome differentiation in
204 common frogs seems best explained (proximate cause) by a polymorphism at the SD locus,
205 where different alleles vary in their degree of penetrance: the more penetrant a
206 masculinizing allele is (i.e., the more likely bearers of this allele develop as males), the less
207 frequently X and Y recombine, and the more differentiated sex chromosomes are.

208 **A role for phylogeography**

209 As just mentioned, the relative frequencies of XY, XY° and XX males within *R. temporaria*
210 populations strongly covary with the Y-specific alleles fixed at the *Dmrt1* markers. Five main
211 Y-specific *Dmrt1* haplogroups (labeled Y_A, Y_B, Y_C, Y_D and Y_E) have been identified so far across
212 the species range (which spans South-North from Spain to Norway, and East-West from
213 Russia to Ireland). Haplogroup distributions closely correspond to those of the main mtDNA

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3 214 haplotypes documented in this species (e.g. Stefani et al. 2012; Vences et al. 2013; Jansen
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5 215 van Rensburg et al. 2019), pointing to a key role of phylogeography (i.e., historical range
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7 216 expansions from glacial refugia) in their present-day distribution. In Switzerland, for
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9 217 instance, haplogroup Y_A is found south of the main Alpine range, in association with the
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11 218 mtDNA Alpine sublineage I mostly spread in Italy (Stefani et al. 2012; CH-South in Jansen van
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13 219 Rensburg et al. 2019), and haplogroup Y_B north of this range, in association with the mtDNA
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15 220 Alpine sublineage III (Stefani et al. 2012; CH-North in Jansen van Rensburg et al. 2019).
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17 221 Males from Y_A populations tend to display differentiated Y chromosomes associated with
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19 222 strict GSD (XY males), while those from Y_B populations typically have proto-sex
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21 223 chromosomes with leaky GSD (XY° males), or undifferentiated chromosomes with non-GSD
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23 224 (XX males). Despite the high range of elevations investigated (325 m to 2655 m asl),
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25 225 elevation only plays a marginal role on sex-chromosome differentiation in Y_B populations,
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27 226 and none in Y_A populations (Phillips et al. 2020). Haplogroup Y_B is spread throughout most of
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29 227 western Europe up to southern Sweden (e.g. in Tvedöra), where it also associates with XY°
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31 228 and XX males. Further north (e.g. in Ammanäs) and throughout eastern Europe occurs the
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33 229 haplogroup Y_C (co-distributed with the main Eastern mtDNA haplogroup T5; Vences et al.
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35 230 2013), mostly associated with XY males (strict GSD and differentiated sex chromosomes).
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37 231 Importantly, these associations also hold within populations at contact zones, where both
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39 232 haplogroups coexist (Rodrigues et al. 2017). Hence, sex-chromosome differentiation (and
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41 233 penetrance of SD alleles) bears a clear link with phylogeography, not with climate or any
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43 234 other environmental feature. A similar situation was documented in *Rana iberica* (Jeffries et
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45 235 al. 2018) and *Hyla arborea* (for which *Dmrt1* is also the candidate SD gene; Brelsford et al.
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47 236 2016c), where sex-chromosome differentiation parallels range expansion from glacial refugia
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49 237 (Dufresnes et al. 2014).

238 **No evidence that differentiated Y-chromosomes affect male (or female) fitness**

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52 239 One basic tenet from the canonical model is that sex-chromosome differentiation associates
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54 240 with the fixation of SA genes. Common frogs offer a unique opportunity to test this
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56 241 assumption, given that XX, XY° and XY males sometimes coexist within the same
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58 242 populations. One obvious prediction from this model would be that XY males are better than
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60 243 XX males at mating with females and/or siring clutches, thanks to sexually dimorphic traits

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3 244 particularly attractive to females. Note that, to allow coexistence, this fitness benefit should
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5 245 be balanced by some negative consequence of sex-chromosome differentiation, such as
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7 246 decreased survival due to the accumulation of deleterious mutations on the non-
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9 247 recombining segment.

10 248 Morphological measurements of more than 800 XY, XY^o and XX males within one
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12 249 population from the western Swiss Alps failed to find any phenotypic difference between
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14 250 these categories of males (Veltsos et al. 2020). Despite a marked sexual dimorphism (sexes
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16 251 differ in size, color and body proportions), no morphological trait differed significantly
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18 252 between categories; a male is a male, whatever the state of differentiation of its sex
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20 253 chromosomes. Similarly, the probabilities of successful mating and of siring a clutch did not
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22 254 differ between XY, XY^o and XX males. Along the same line, XY females seem also perfectly
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24 255 viable and fertile (Rodrigues et al. 2018), which argues against the fixation of male-beneficial
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26 256 / female-detrimental alleles on the Y. All of this strongly suggests that sexual dimorphism
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28 257 entirely or at least predominantly results from the differential expression of autosomal
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30 258 genes, not from the fixation of sex-limited genes on sex chromosomes.

31 259 Thus, SA genes do not seem to play a significant role in the early steps of sex-
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33 260 chromosome evolution in frogs. This conjecture is supported by comparisons of the
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35 261 transcriptomes of XY, XY^o and XX males to those of XX females: despite pervasive sex biases
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37 262 in the expression of many genes, all males present the same profiles, independent of sex-
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39 263 chromosome differentiation. Chromosome 1 in XY males, moreover, does not harbor more
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41 264 sex-biased genes than autosomes (Ma et al. 2018a, 2018b).

42 43 265 **Are sex chromosomes a good location for SA genes?**

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46 266 These empirical results are actually backed by theoretical approaches. Individual-based
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48 267 simulations were performed to investigate the evolution of XY recombination, under the
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50 268 opposing forces of SA selection (which selects against recombination) and deleterious
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52 269 mutations (which select for recombination) (Grossen et al. 2012; Cavoto et al. 2019). These
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54 270 simulations show that, depending on their rates, mildly deleterious mutations have indeed
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56 271 the potential to oppose SA selection and select for a low equilibrium level of XY
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58 272 recombination (mediated e.g. by sex reversal). The resulting rare occurrence of XY females
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60 273 (in the order of one per population every 3-4 generations, intriguingly close to the rate of XY

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3 274 recombination estimated by Guerrero et al. (2012) for Hylid frogs) seems sufficient to largely
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5 275 purge the load of deleterious mutations from the Y. Note that X-Y recombination actually
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7 276 benefits males, not females (the accumulation of deleterious mutations on non-recombining
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9 277 Y chromosomes lowers male survival, but boosts their purge from the X, which increases
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11 278 female survival). These rare recombination events oppose the fixation of SA mutations on
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13 279 the Y, owing to recombination load (since male-beneficial / female-detrimental alleles would
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15 280 then be transmitted to the X). Fixation is also impeded by Hill–Robertson interferences with
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17 281 deleterious mutations. Altogether, these simulations suggest that sex chromosomes might
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19 282 not be a good location for SA genes, and sex conflicts better solved through the differential
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21 283 expression of autosomal genes.

22 284 **A role for neutral forces?**

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26 285 These results raise the question of what evolutionary causes might favor strict *versus* leaky
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28 286 GSD, and more generally maintain the polymorphism in X-Y recombination and sex-
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30 287 chromosome differentiation observed in frogs. Stronger sex-ratio selection in small
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32 288 populations might play a role, as suggested by the association of strict GSD with post-glacial
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34 289 expansions, documented in *H. arborea* and *R. iberica*. However, with the data in hand, one
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36 290 cannot exclude the idea that such polymorphism only results from neutral genetic drift,
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38 291 whereby sex-determining alleles with different levels of penetrance were fixed in small
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40 292 populations inhabiting different glacial refugia. If, by chance, the allele fixed had low
41
42 293 penetrance (such as for haplogroup Y_B), a leaky GSD will result, and sex chromosomes are
43
44 294 expected to remain morphologically undifferentiated. If a stronger-penetrance allele was
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46 295 fixed (such as for haplogroups Y_A and Y_C), then a stricter GSD will result; sex chromosomes
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48 296 are expected to progressively differentiate, and thus the Y to progressively accumulate
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50 297 deleterious mutations. At some level, the fitness of these Y chromosomes might decrease to
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52 298 such a point that a sex-chromosome turnover is expected.

53 299 **Sex-chromosome turnovers**

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56 300 Early work in Ranidae using isozymes had found that sex is associated with different linkage
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58 301 groups in different species, or even different populations of the same species, pointing to a
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60 302 labile position of the sex locus (Sumida & Nishioka 2000; Miura 2017). As already mentioned,

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3 303 isozyme inheritance patterns had also unveiled widespread male heterogamety. Both
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5 304 patterns have been formally tested and fully confirmed with an expanded dataset using
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7 305 RADseq approaches (Jeffries et al. 2018). Despite a high rate of sex-chromosome turnover,
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9 306 all of the 24 species of Ranidae investigated for which heterogamety could be identified
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11 307 display an XY system, with the exception of *Glandirana rugosa*, where both XY and ZW
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13 308 populations have been found across different races in Japan (Miura 2007).

14 309 Similar patterns were documented from Hylidae, where male heterogamety prevails
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16 310 despite high rates of turnover (Dufresnes et al. 2015). Only two transitions towards female
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18 311 heterogamety have been documented in this family. One of them occurred more than 11
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20 312 Mya in the lineage leading to *Hyla sarda* and *H. savignyi* (Dufresnes et al. submitted).
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22 313 Interestingly, despite being female-heterogametic for millions of years, both species have
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24 314 conserved the typical pattern of heterochiasmy (Fig. 1). Thus, sex chromosomes recombine
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26 315 in ZW females, not in ZZ males. This strongly supports the idea that the drastic
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28 316 heterochiasmy documented in anurans results from intrinsic constraints on male meiosis,
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30 317 and is neither the cause nor the consequence of male heterogamety. Furthermore, despite
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32 318 their high rate of ZW recombination (which prevents the fixation of SA genes on the W),
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34 319 both species display the same level of sexual dimorphism as other Hylidae (Dufresnes 2019),
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36 320 which adds to the growing evidence that sexual dimorphism in frogs results from the
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38 321 differential expression of autosomal genes, not from the sex linkage of sex-specific genes.

39 322 Four main classes of ultimate causes are considered to have the potential to drive
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41 323 sex-chromosome turnovers (van Doorn 2014): i) neutral genetic drift, ii) sex-ratio selection,
42
43 324 iii) sex-antagonistic selection, and iv) selection stemming from the accumulation of
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45 325 deleterious mutations. Importantly, these potential causes make different predictions
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47 326 regarding both the recurrence of transitions and the changes in patterns of heterogamety
48
49 327 during turnovers.

50 328 i) Transitions mediated by *genetic drift* (Bull & Charnov 1977) were recently
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52 329 investigated via evolutionary modelling (Veller et al. 2017; Saunders et al. 2018, 2019), with
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54 330 a focus on epistatically dominant SD mutations (meaning that XX individuals with a
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56 331 masculinizing mutation M are males (XXmM), and XY individuals with a feminizing mutation
57
58 332 F are females (XYfF)). It appears from these simulations that a transition that replaces an old
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60 333 Y (or W) chromosome by a new one (i.e., that maintains the patterns of heterogamety) is
334 about four times more likely than the fixation of a neutral autosomal mutation, because the

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3 335 new sex chromosome has to reach a frequency of 0.25, not 1.00. For the same reason, such
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5 336 transitions are also two to four times more likely than those that change heterogamety (e.g.,
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7 337 changes from XY to ZW), because in such transitions the old Y is fixed as an autosome
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9 338 (resulting in YYff males and YYfF females), so that its frequency has to rise from 0.25 to 1.00.
10
11 339 The likelihood for this latter kind of transitions increases as effective population size (N_e)
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13 340 decreases. This differs from the dynamics of classical neutral mutations (the fixation of
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15 341 which only depends on mutation rate; Kimura 1962), because random changes in allele
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17 342 frequencies at the sex locus affect population sex ratio, which accelerates the fixation of a
18
19 343 dominant SD mutation ("drift-induced selection"). Thus, depending on N_e , one out of 2 to 5
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21 344 transitions occurring under genetic drift is expected to change the patterns of
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23 345 heterogamety, a frequency markedly higher than that documented in Ranidae.

24
25 346 ii) Transitions may also be driven by *sex-ratio biases* stemming from e.g. meiotic drive
26
27 347 (Kozielska et al. 2010) or environmental factors such as climatic change (Grossen et al. 2011)
28
29 348 or parasites (Cordaux et al. 2011). Sex-ratio selection is likely responsible for the only
30
31 349 exception to XY sex determination in the Ranidae data-set analyzed by Jeffries et al. (2018).
32
33 350 The ZW races of *G. rugosa* were shown to stem from crosses between two highly divergent
34
35 351 XY races; experimental crosses between these same races produce a male-biased progeny,
36
37 352 which is expected to favor the spread of epistatically dominant feminizing mutations (Miura
38
39 353 2007). In general, however, male- or female biases should occur *a priori* with equal
40
41 354 probability, so that turnovers triggered by this selective pressure should maintain or change
42
43 355 heterogamety with equal probability.

44
45 356 iii) The rationale underlying *SA-driven turnovers* (van Doorn & Kirkpatrick 2007, 2010)
46
47 357 was presented in the Introduction. Whether these turnovers change the pattern of
48
49 358 heterogamety or not similarly depends on whether the newly-arising SA mutation is male- or
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51 359 female-beneficial. These two kinds of mutations have *a priori* equal probability. In the case
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53 360 of frogs, however, heterochiasmy (drastically reduced male recombination) might facilitate
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55 361 transitions to XY systems, owing to the immediate linkage it creates between male-
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57 362 determining and male-beneficial genes. By the same logic, SA-selection is unlikely to have
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59 363 contributed to the few XY-to-ZW transitions documented in Ranidae and Hylidae: the high
60
61 364 rate of female recombination impedes the establishment of linkage between female-
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63 365 determining and female-beneficial genes on the W. Although SA selection might in principle
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65 366 favor an XY-to-ZW transition in frogs, it is unlikely to trigger the kind of continuous turnover

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2
3 367 that characterizes Ranidae and Hylidae: once fixed on the new sex chromosome after a first
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5 368 transition, a male-beneficial mutation should strongly oppose further changes, the more so
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7 369 that, following the first transition, both SA effects and SA-SD linkage are expected to rapidly
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9 370 strengthen (Blaser et al. 2013, 2014; Saunders et al. 2018).

10 371 iv) By contrast, the load of *deleterious mutations* accumulating on non-recombining
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12 372 genomic regions has the potential to drive this sort of cyclic turnover (the “hot-potato
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14 373 model”; Blaser et al. 2013, 2014). As soon as a fully-penetrant male-determining mutation is
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16 374 fixed, the entire Y chromosome stops recombining (except for the tips); Hill-Robertson
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18 375 interferences involving hundreds or thousands of genes facilitate the rapid accumulation of
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20 376 deleterious mutations and decay of the new Y, decreasing its fitness until a new turnover
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22 377 becomes unavoidable (sex determination literally “burns the hands” of the chromosome in
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24 378 charge). Such turnovers, furthermore, are expected to be biased towards maintenance of
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26 379 heterogamety. Provided the new masculinizing mutation M is dominant (i.e., XXmM are
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28 380 males), then the old and decayed Y is discarded (which is exactly what triggers the
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30 381 transition). A dominant feminizing mutation F, by contrast, leads to a female heterogametic
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32 382 system (YYff males and YYF females), during which the Y is fixed as an autosome. This
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34 383 outcome is of course strongly counter-selected if the Y is loaded with deleterious mutations.
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36 384 The only way to change heterogamety would be through a recessive masculinizing mutation
37
38 385 M generating XXmM females and XXMM males (Bull & Charnov 1977), which would fix the X
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40 386 and eliminate the loaded Y. This sort of transition is much less likely, however, because the
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42 387 mutation is not visible to selection until it has spread (by drift) to frequencies high enough to
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44 388 produce homozygotes. Hence, the patterns documented in frogs are compatible with a role
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46 389 for deleterious mutations, assuming SD mutations are generally dominant.

47 390 Altogether, therefore, the combination of high turnover rate and maintenance of
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49 391 male heterogamety suggests a central role for the accumulation of deleterious mutations on
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51 392 non-recombining genomic regions as a driver of sex-chromosome transitions, rather than for
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53 393 SA selection.

54 394 **Summary and conclusions**

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57 395 The canonical model of sex-chromosome evolution, which assigns a crucial role to SA genes,
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59 396 has received wide acceptance, and is systematically invoked to account for the arrest of
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3 397 recombination and ensuing degeneration that characterize the fully-differentiated sex
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5 398 chromosomes currently found in mammals, birds, and insects. Although elegant and
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7 399 appealing, this model relies partly on verbal arguments, some of which are opposed by
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9 400 individual-based simulations (Grossen et al. 2012; Cavoto et al. 2018). From these
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11 401 simulations, deleterious mutations accumulating on non-recombining chromosomes have
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13 402 the potential to oppose the fixation of SA genes on sex chromosomes. The latter might
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15 403 therefore not be the best location for genes that underlie sexual dimorphism. Several
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17 404 aspects of the canonical model (in particular the selective forces acting on and resulting
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19 405 from reduced levels of XY recombination) should be better formalized, and auxiliary
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21 406 assumptions clarified.

21 407 Empirical support, furthermore, is rather limited. Such support should optimally
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23 408 come from sex chromosomes at incipient and variable levels of differentiation. Guppies
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25 409 (*Poecilia reticulata*) might present an ideal model in this respect, owing to their strong sexual
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27 410 dimorphism and sex-linked polymorphism in male coloration. Genomic analyses of three
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29 411 pairs of populations from Trinidad (Wright et al. 2017) suggested an instrumental role for SA
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31 412 genes, with three independent events of expansion of the non-recombining region in
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33 413 upstream populations, following changes in sexual selection stemming from a decrease in
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35 414 predation pressure. Further analyzes, however, are casting doubts on this scenario, opposing
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37 415 the claim for independent evolutionary strata (Charlesworth 2018; Bergero et al. 2019).
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39 416 These analyzes suggest instead the buildup of SA genes to be a consequence of pre-existing
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41 417 patterns of reduced male recombination genome wide.

41 418 In frogs, as the present review makes clear, the canonical model finds little support
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43 419 either. The dynamics of sex-chromosome differentiation do not seem to be significantly
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45 420 affected by SA genes, as supported by several lines of arguments:

47 421 i) Like in guppies, there is no need to invoke SA genes to account for the arrest of XY
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49 422 recombination; this arrest is the direct consequence of male heterogamety combined with
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51 423 the strong heterochiasmy that characterizes most anurans. In female-heterogametic
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53 424 systems, ZZ males show the same patterns of drastically reduced recombination (so that sex
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55 425 chromosomes recombine in ZW females, not in ZZ males), suggesting this heterochiasmy
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57 426 results from constraints on male meiosis.

58 427 ii) The geographic polymorphism in sex-chromosome differentiation correlates
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60 428 closely with phylogeography, not with environmental features or associated selective forces.

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3 429 This polymorphism results from the fixation, possibly by genetic drift in glacial-refugia
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5 430 populations, of SD alleles with different levels of penetrance.

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7 431 iii) Naturally occurring XX males display the same phenotype and mating success as
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9 432 XY males; XY females also seem perfectly functional and fertile, which argues against the
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11 433 fixation of male-beneficial / female detrimental alleles on Y chromosomes.

12 434 iv) Female-heterogametic species, in which Z and W recombine intensely, display the
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14 435 same level of sexual dimorphism as XY species, suggesting that sexual dimorphism does not
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16 436 rely on sex-limited genes.

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18 437 v) Transcriptome analyses unveil strong sex biases in gene expression, which
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20 438 however associate with phenotypic sex, not genetic sex; XX males show the same profiles as
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22 439 XY males, drastically different from XX females, confirming that sexual dimorphism
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24 440 essentially results from the differential expression of autosomal genes.

25 441 vi) Genes on sex chromosomes show exactly the same levels of sex-biased expression
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27 442 as autosomal genes, supporting the above conclusion.

28
29 443 vii) The patterns of sex-chromosome turnovers (recurrent cycles of transitions,
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31 444 combined with heavy biases towards maintenance of male heterogamety), suggest they
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33 445 originate from the deleterious mutations accumulating on non-recombining genomic
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35 446 regions, rather than from SA genes.

36 447 The kind of investigations presented here should be expanded to other species and
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38 448 groups with incipient sex chromosomes. If the same patterns as documented in Ranidae and
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40 449 Hylidae also apply more widely, the inevitable conclusions will be that the role of SA genes in
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42 450 the early evolution of sex chromosomes has been overemphasized, and that we are now in
43
44 451 need of alternative models to account for sex-chromosome evolution within a more general
45
46 452 framework.

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3 636 **Figure captions**
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6 637 Fig. 1 Sex-specific patterns of recombination in frogs. Females (left) recombine more or less
7 638 uniformly all along their chromosomes genome-wide, while males (center) recombine
8 639 mostly or only at chromosome tips (where crossovers are more frequent than in females).
9 640 This implies that, under strict GSD, Y chromosomes (right) will show differentiation all over
10 641 except for the tips (strata 1 and 2), but only at stratum 1 (the SD region) under leaky GSD. All
11 642 three strata remain undifferentiated under non-GSD.
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19 644 Fig. 2. Threshold model of sex determination. An individual develops as male if expression of
20 645 the sex factor (SF) exceeds a given threshold, and as female otherwise. Strict GSD results if
21 646 all XY individuals, but none of the XX, exceed the threshold (left). Leaky GSD results if XY and
22 647 XX distributions overlap somewhat (center). Non-GSD results if a single genotype (XX)
23 648 occurs; individual sex is then determined by random noise in the expression of the sex factor
24 649 (right).
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